



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: Application of: **NAGAN, D. and DUNHAM, E., Jr.**

Serial No: **10/035,890**

Examiner: **Wasylichak, S.**

Filing Date: **December 31, 2001**

Group Art Unit: **3624**

For: **PROGRAMMED ASSESSMENT OF TECHNOLOGICAL,  
LEGAL AND MANAGEMENT RISKS**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

<p><b>CERTIFICATE OF MAILING, 37 C.F.R. §1.8(a)</b></p> <p>I certify that this correspondence and the enclosures mentioned therein are being deposited by First Class U.S. Mail with sufficient postage on the date shown below, addressed to Commissioner for Patents, PO Box 1450, Alexandria, Virginia 22313-1450.</p> <p><i>Stephen P. Gribok</i> Stephen P. Gribok, Reg. No. 29,643 <b>6-20-05</b> Date</p>
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**INFORMATION DISCLOSURE STATEMENT**  
**TRANSMITTAL LETTER**

Sir:

Enclosed herewith is a Supplemental Information Disclosure Statement pursuant to 37 CFR. §1.56 in connection with the above-identified application, which statement is being filed:

☐ Together with the present application.

☐ Before the first Office Action on the merits or three (3) months from the filing date of this application, whichever occurs last. **[37 CFR § 1.97(b)]**

☒ After the first Office Action on the merits, but before a Final Office Action under §1.113 or Notice of Allowance under §1.311, whichever occurs first. **[37 CFR §1.97(c)]**. *(Either the fee or certification is provided, as set forth below).*

☐ After a Final Office Action under §1.113 or Notice of Allowance under §1.311, but prior to or with payment of the Issue Fee. **[37 CFR §1.97(d)]**. *The fee and certification are provided, as set forth below).*

Consistent with Applicant's obligations pursuant to 37 CFR §§1.97 and 1.98, the following requirements have been met:

☐ No separate requirements are needed.

☐ No additional fee is required.

06/24/2005 RFEKADU1 00000027 041679 10035890

02 FC:1806

180.00 DA

**Fee Under 37 CFR § 1.97(c) or 1.97(d)**

☒ The fee of \$180.00 for submission of an IDS under §1.97(c) as set forth in §1.17(p) accompanies this statement.

**Certification Under 37 CFR § 1.97(e)**

The undersigned certifies:

☐ that each item of information contained in the information disclosure statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement; or

☐ that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the statement after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of the information disclosure statement.

**Provision of Copies of References**

☐ A copy of the foreign references listed on the attached Form PTO/SB/08a is enclosed herewith and forms a part hereof.

☒ Pursuant to the order of the Deputy Commissioner dated July 11, 2003, copies of the U.S. references are not enclosed.

☐ A translation of Reference \_\_\_\_\_ is enclosed herewith and forms a part hereof.

☐ A copy of the European Office Action from the corresponding or related European application is enclosed herewith.

☐ A copy of the International Search Reports from corresponding or related PCT applications is enclosed herewith.

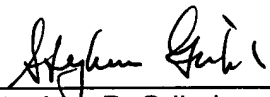
**Identification of Prior Application(s) In Which Listed Information Was Already Cited And For Which No Copies Are Submitted Or Need Be Submitted**

☐ This application relies, under 35 U.S.C. § 120, on the earlier filing date of prior U.S. Application No(s) \_\_\_\_\_, filed \_\_\_\_\_. The following references were submitted to, and/or cited by, the Office in the prior application(s) and therefore are not required to be provided in this application:

[X] The Commissioner is hereby authorized to charge any fees associated with this communication or credit any overpayment to Deposit Account No. 04-1679.

Respectfully submitted,

Date: June 20, 2005

  
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Docket No.: Dunham

PTO/SB/08A (08-03)